

Arthya Specialises in Wealth advisory and family office solutions catering to HNIs / UHNIs, Corporates and large Family Offices. We help clients invest wisely through customised solutions after reviewing investment objectives, risk profile and financial goals. We also offer comprehensive multi-family office services to families holding significant financial resources and help in smooth next-generational upskilling. We are focussed on building a full stack platform to be able to offer the best of investment solutions across asset classes as well as comprehensive value-added services to our clients.

The firm is founded by Mr. Gaurav Arora, an industry veteran with strong pedigree of more than 17 years in Wealth Management, as Chief Investment Officer, with some highly reputed institutions in the country. We bring on board strong credentials and total expertise in this field (with an experienced team) of more than 50 years collectively in managing investments, research, fund management, operations, service etc. We are very passionate about managing wealth and identifying good investment options for our clients. We cater to all segments of products such as Equity, Debt, Gold, Alternatives, Real Estate, PMS, Structured debentures, Bonds etc for investors of all kinds including UHNIs, large Family Offices, Corporate Treasuries, NRIs etc.

Currently we hold the following licenses:

1. AMFI registered Mutual Fund Distributor (ARN-171272)
2. SEBI Registered Investment Advisor (RIA) (INA000015279) under SEBI (Investment Advisers) Regulations 2013.
3. SEBI Registered Portfolio Management Services (PMS) (INP000007225) under SEBI (Portfolio Managers) Regulations, 2020.
4. An Authorised Participant to JM Financial Services Pvt. Ltd. –
 - a. National Stock Exchange of India Limited: AP Registration Code - AP0359024973 (Cash & FO Segment).
 - b. Bombay Stock Exchange: AP Registration Code - AP01032501121073 (Cash Segment)

It is highly encouraging that within the first 1 year of receiving our Mutual Fund Distribution license, RIA license and PMS license, we have acquired an AUM/AUA of INR 500 crores and growing every day!

As an Investment Adviser, please find the following disclosures:

Consideration received by way of remuneration or compensation for the investment advice provided to the client.	No consideration has been received from the Issuers / AMC, by way of remuneration or compensation for the investment advice provided to client.
Before recommending the services of a stock broker or other intermediary to a client, disclosed any consideration received by way of remuneration or compensation from the stock broker or other intermediary to a client, if the client desires to avail the services of such intermediary.	Yes, all disclosures are made to the client before recommending services of a stock broker or other intermediary to the clients.
Any actual or potential conflicts of interest arising from any connection to or association with any issuer of products/securities, including any material information or facts that might compromise its objectivity or independence in the carrying on of investment advisory services.	No conflict of interest
All material facts relating to the key features of the products or securities, particularly, performance track record.	All material facts relating to key features of the products / securities, their performance etc has been disclosed to the client.
Whether the investment adviser has drawn the client's attention to the warnings, disclaimers in documents, advertising materials relating to an investment product which it is recommending to the client	Yes

For Arthya Wealth and Investments Private Limited



Smitha Jain Arora
Compliance Officer

